FACTSHEET

ATT Reporting Challenges

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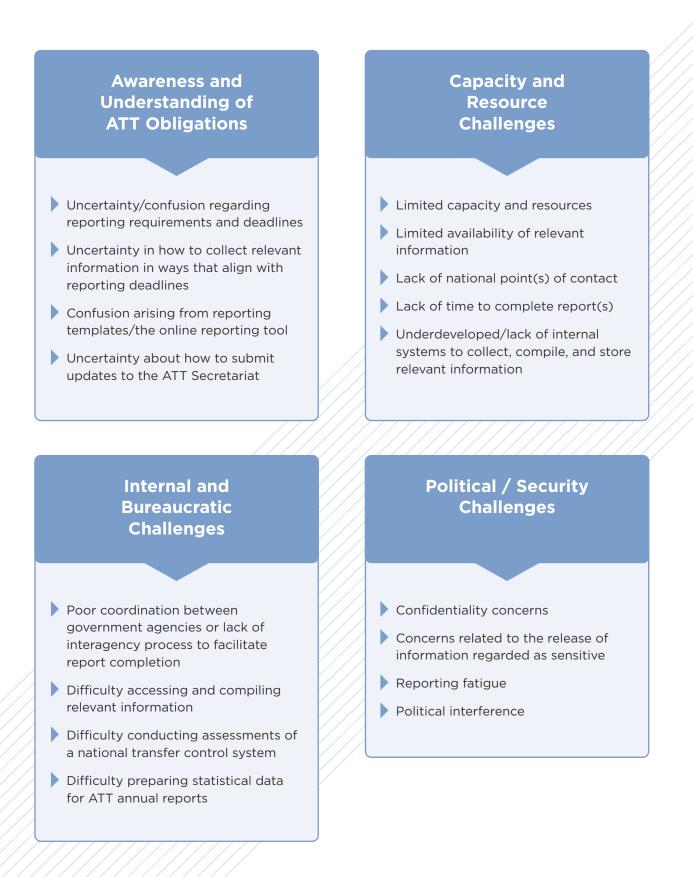


REPORTING CHALLENGES IDENTIFIED BY STATES PARTIES

Mandatory ATT reporting is not universal and compliance with reporting obligations has varied from year to year. Over the past seven years of ATT reporting, Stimson has conducted interviews with and surveys of States to discuss challenges to ATT reporting. Respondents have identified several obstacles that obstruct their ATT reporting efforts or prevent them from fulfilling their ATT reporting requirements. These obstacles have contributed to a range of issues, such as incomplete reporting compliance, stagnant reporting levels, limited public transparency in ATT reporting, and difficulty in assessing the treaty's implementation.



In some cases, these reporting challenges have been compounded by the wide-ranging impact of the COVID-19 pandemic on government operations and priorities, as well as on global momentum towards comprehensive ATT implementation. The long-term impact of the pandemic on reporting remains to be seen.



ASSISTANCE FOR OVERCOMING REPORTING CHALLENGES

States Parties can access various resources to assist them in overcoming the challenges that States have identified. Using existing tools, States can access resources to help them fulfil their ATT reporting requirements.

The Working Group on Transparency and Reporting has provided an FAQ document to assist States Parties in completing their ATT annual reports as well as a working paper with advice on the measures that States Parties can take to advance ATT reporting compliance:



The **Voluntary Trust Fund** is one way for States to request assistance to help develop and strengthen national processes that can support reporting efforts. Examples of such assistance include projects designed to help targeted States establish internal reporting processes and procedures as well as strengthen interagency cooperation to enhance national reporting.

States may also request assistance for partner countries or regional organizations to support fulfillment of treaty reporting requirements. For example, the **European Union's ATT-Outreach Project** provides national trainings to non-EU countries to strengthen their national transfer controls and support ATT implementation. In addition, States may participate in **bilateral assistance programs** to support ATT implementation, including support for reporting. WORKING PAPER National-Level Measures to Facilitate Compliance with International Reporting

Obligations and Commitments

States Parties in completing their ATT reporting requirements, including guidance documents on both the ATT initial and annual reports. Guidance for the initial report details specific topics and ATT commitments, offers examples for how to respond to relevant questions, and provides insights on potential sources of information for responding to relevant questions. Guidance for the annual reports includes insights on multilateral transparency instruments, synergies between ATT annual reporting and the UN Register of Conventional Arms, data collection sources and methods, and identification and categorization of major conventional weapons as well as small arms and light weapons.

Stimson has developed training tools to support

Stimson's training tools can be found in:

Arms Trade Treaty-Baseline Assessment Project (ATT-BAP), Guidance for Completing the Initial Report on Implementation Measures, 2016

Arms Trade Treaty-Baseline Assessment Project (ATT-BAP), Annual Report Guidance Booklet, 2017