

PROTECTING PEOPLE
PRESERVING THE PLANET
PROMOTING PROSPERITY

2017 : Annual Report



STIMSON

**THE STIMSON CENTER IS A NONPARTISAN
POLICY RESEARCH CENTER WORKING TO
PROTECT PEOPLE, PRESERVE THE PLANET,
AND PROMOTE SECURITY & PROSPERITY.**

Stimson's **AWARD-WINNING RESEARCH** serves as a roadmap to address borderless threats through concerted action.

OUR FORMULA is simple: we gather the brightest people to think beyond soundbites, create solutions, and make those solutions reality.

We follow **THE CREDO** of one of history's leading statesmen, Henry L. Stimson, in taking "pragmatic steps toward ideal objectives."

We are practical in **OUR APPROACH** and independent in our analysis. Our innovative ideas change the world.

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LETTER FROM THE PRESIDENT



For many, 2017 is unlikely to be recorded as a high-water mark in human history. The worldwide rise of populism, a growing rejection of globalization, the continued degradation of our natural environment, and the spread of violent conflict and displacement of innocents all point to a less secure future for everyone. Even more disturbing, these threats are increasingly met with dysfunction in government and a seeming inability to manage evolving challenges with the traditional tools of governance.

Yet for many more, 2017 also brought life-changing benefits. The global economy grew by approximately 3 percent, reaching far-flung corners of the planet and continuing one of the most sustained economic expansions in history. Global carbon emissions have leveled off, and worldwide awareness around environmental threats widens in spite of some pushback. Even with high-profile famines, the proportion of undernourished people worldwide continues to decline. And the flow of foreign fighters to the ranks of the Islamic State has ebbed dramatically as the group's territory has shrunk and its ambitions have withered.

What is perhaps most remarkable about each of these trends is the diminishing role that government plays in their achievement. While the state remains an indispensable actor, today a new set of global policy players is contributing to the spread of peace and prosperity at home and abroad:

- / Private companies are working hand-in-hand with government to stem the global flow of weapons and contraband that undermines prosperity and promotes conflict;
- / Technological advances are strengthening global efforts to combat illegal fishing and weaken the transnational organized crime groups profiting from it;
- / Nonprofits and local community resistance have stalled environmentally damaging and economically inefficient energy projects in the Mekong Delta; and

/ Where natural disasters occur, new communities are responding. In one famous case, celebrity chef José Andrés helped organize the delivery of more than 3 million meals to Puerto Ricans experiencing food insecurity as a result of two catastrophic storms.

For Americans and others around the globe despondent over government dysfunction, these facts should reinvigorate our common sense of optimism. As governments turn inward, the positive forces of civil society and industry conspire to make up the difference. Make no mistake, the United States of America matters as much as ever — even if Washington matters less.

In a world all too often dominated by negative headlines, our common mandate must be to build opportunities for all actors who are willing to make positive change — governments, private industry, academia, and individuals. For almost three decades, Stimson has been building a platform on which public and private actors can join forces to promote security and prosperity, preserve the planet, and protect innocent people. In this regard, 2017 was a banner year.

Our efforts to take pragmatic steps toward ideal objectives are needed today more than ever. This report outlines many of Stimson's successes in this endeavor in 2017.

Thank you for your support of the Center.

Brian Finlay
President and CEO

LETTER FROM THE CHAIRMAN



When the Stimson Center was founded at the height of the Cold War, our focus was on the pressing national security issues of the time: the global arms race and WMD proliferation. Today, these issues — epitomized by the growing nuclear threat of North Korea and Iran — still challenge global security. But they are far from the only threats that require innovative ideas and pragmatic action.

Promoting our common security and prosperity in the 21st century means addressing the global conventional arms trade and use of child soldiers. It entails developing strategies to keep civilians safe in war-torn societies, and modernizing the tools of global governance and collective action. And it requires thoughtful efforts to counteract harmful practices that not only degrade the environment, but fuel geopolitical tensions and conflict.

At the Stimson Center, our success is defined by our impact — our ability to guide policy, to foster public and private partnerships, and to implement solutions that achieve meaningful change.

In South Asia, for instance, Stimson has spent decades focusing on risks associated with the accelerating arms competition between India and Pakistan. These risks are amplified by the activities of terrorist groups and political instability in the region. Stimson has championed confidence-building and nuclear risk-reduction measures, and today, virtually every measure now in place was brought into being through our Track II initiatives.

Likewise, Stimson has forged practical bridges between the national security and environmental communities by highlighting the complex drivers of environmental theft and degradation. From booming populations that create unsustainable demand, to transnational organized crime that helps fuel geopolitical tensions, finance conflict, and

compromise economic development, Stimson has built new partnerships that leverage mutual strengths and ameliorate the causes and consequences of a changing environment.

Henry L. Stimson believed that progress toward peace could only be achieved through practical steps, and with strong American engagement in the world. As a nonpartisan research center, we are committed to working with governments, international organizations, civil society, and industry to ensure that our research leads to durable solutions. We are guided by a sense of duty to promote security and prosperity, protect people, and preserve the planet, and we are inspired by our namesake's commitment to "pragmatic idealism."

I am tremendously proud of the work we have accomplished over the past year, and as we reflect on our impact I want to thank you for your support of the Stimson Center.

A handwritten signature in black ink that reads "David Lane". The signature is written in a cursive, slightly slanted style.

Ambassador David J. Lane
Chairman

THE WORLD IN REACH

/ PROMINENT

RANKED NO. 20 OF 1800 U.S. THINK TANKS

/ INNOVATIVE

RANKED TOP-30 GLOBAL THINK TANK FOR BEST NEW IDEA

/ INCLUSIVE

70% FEMALE SENIOR PROJECT DIRECTORS

/ TRANSPARENT

5-STAR FINANCIAL TRANSPARENCY RATING

/ TRUSTED

RANKED TOP-50 GLOBAL THINK TANK FOR BEST QUALITY ASSURANCE AND INTEGRITY POLICIES





**WORLDWIDE
ENGAGEMENT**
38
COUNTRIES
VISITED

DRIVING THE CONVERSATION

2,637
MEDIA CITATIONS

315
OP-EDS

118
CITATIONS APPEARING IN:

- The New York Times*
- The Washington Post*
- The Wall Street Journal*
- The Los Angeles Times*
- USA Today*
- The Economist*
- Fox News*
- CNN*
- NPR*
- Politico*
- Foreign Affairs*
- Foreign Policy*
- Defense One*
- U.S. News & World Report*
- The Diplomat*
- The Hill*
- CNBC*


GLOBAL EVENTS
107
OUTSIDE OF THE
UNITED STATES



**RANKED TOP-50
GLOBAL THINK TANK
FOR BEST USE OF
INTERNET**

1,701,661
Digital Media Impressions

282,452
Website Visitors

TOP IMPACTS





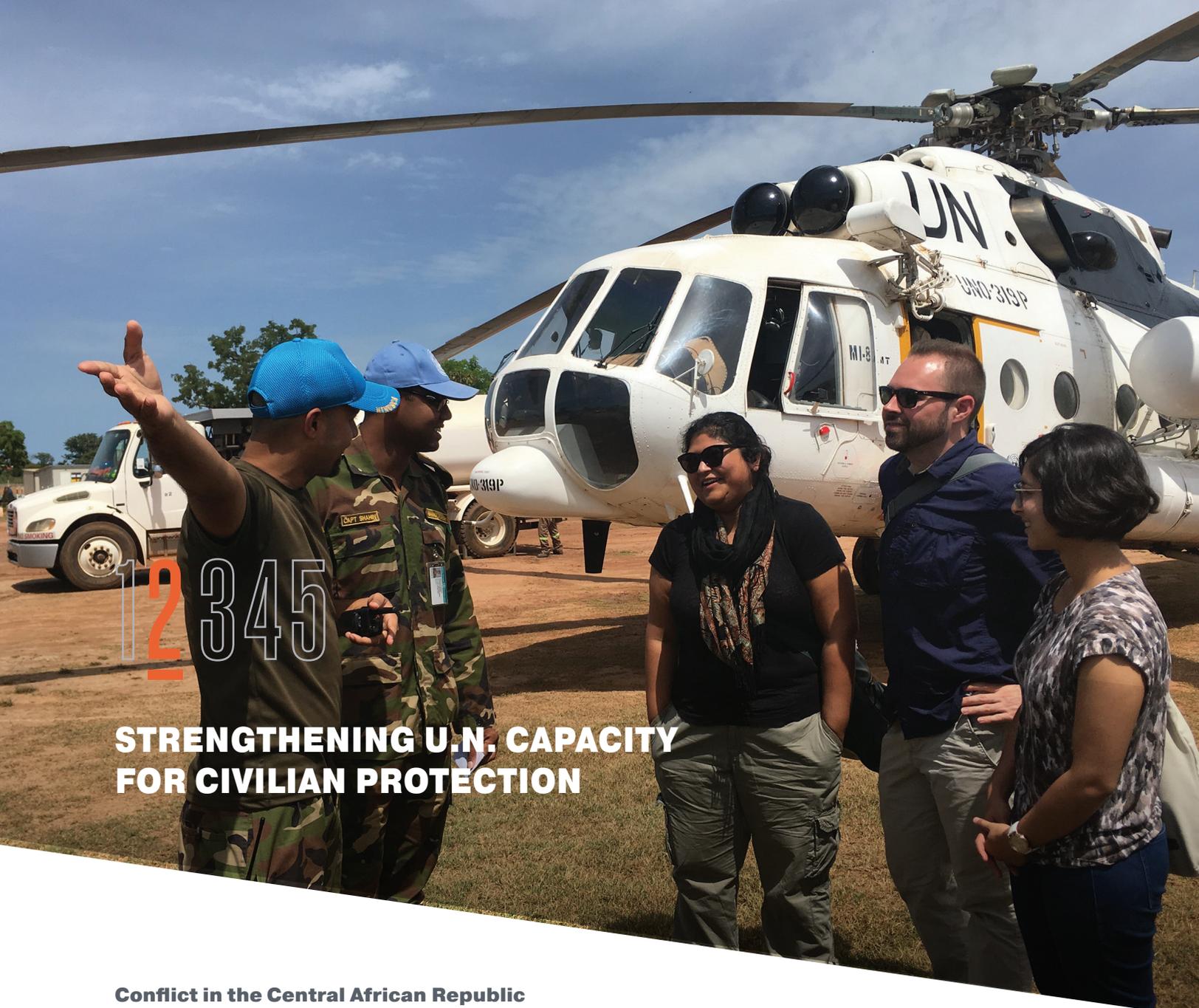
1 2 3 4 5

ENERGIZING INTERNATIONAL EFFORTS TO PROTECT OCEANS

Today, 90 percent of the world's fisheries are in danger of being depleted, overfished, or fished to capacity. Considering that the world's oceans provide food security for over a billion people, mostly in the developing world, this poses significant conservation, economic, and security challenges. Open accessibility to the world's oceans has emboldened transnational criminal networks to use the ocean as their highway to traffic illicit drugs, guns, fish, and even humans. Today the global ocean economy is worth about \$1.3 trillion, and experts suggest it will grow to \$3 trillion by 2030, adding further pressures to its already fragile state.

On behalf of then-Secretary of State John Kerry, Stimson's Environmental Security Director Sally Yozell helped launch the first-ever Our Oceans Conference in 2014 and subsequent conferences in 2015 and 2016. In 2017, Stimson was called upon to continue this partnership by facilitating the 2017 Our Oceans Conference hosted by the European Union. Continuing John Kerry's vision of action and commitment, the conference centered on six main themes: Sustainable Fisheries, Marine Protected Areas, Ocean Pollution, Climate Change, the Blue Economy, and Maritime Security. Attended by heads of state and foreign ministers, the conference spotlighted ocean conservation as a foreign policy imperative that demands the collective engagement of government, industry, academia, NGOs, and youth from around the world. In keeping with Stimson's commitment to pragmatic solutions and Secretary Kerry's goal to produce tangible action, the four conferences have yielded 683 new commitments from governments, industry, and civil society around the world, valued at over \$18 billion and protecting 4.8 million square miles of ocean — an area three-quarters the size of Russia. The outcome of the Our Oceans Conference represents what is possible when innovative world leaders come together to address a common threat and safeguard a precious resource — a process that Stimson was honored to help facilitate.





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STRENGTHENING U.N. CAPACITY FOR CIVILIAN PROTECTION

Conflict in the Central African Republic

grew alarmingly in 2017, creating a serious risk of mass atrocities. Violence against civilians surged, and displacement reached a record high with over 1.1 million Central Africans dislocated from their homes. As security deteriorated, humanitarian organizations were forced to withdraw life-saving assistance. In the face of this crisis, the U.N. peacekeeping mission in the Central African Republic, known as MINUSCA, has become the last hope for hundreds of thousands of vulnerable civilians. Without MINUSCA, there is a high chance that the country's fledgling state institutions would collapse, destabilizing the central African region.

In 2017, the Stimson Center's Protecting Civilians in Conflict team worked to strengthen the U.N.'s capacity to protect civilians in the Central African Republic. The team

conducted field research on the ground, gathering information about the threats to civilians and analyzing the peacekeepers' capacity to respond. They developed new operational practices for MINUSCA to control the conflict and better protect civilians from violence, as well as recommendations for the U.N. Security Council to better support the mission's critical work. Despite an austere budget environment in which other peacekeeping budgets were receiving dramatic cuts, the Security Council approved an increase in troops for MINUSCA to respond to the escalating threats to civilians. The U.N. Secretariat also incorporated the team's findings into an internal review of U.N. peacekeeping intelligence.



EDUCATING THE NEXT GENERATION OF MILITARY LEADERS ON FOOD SECURITY

Food security is a growing global challenge.

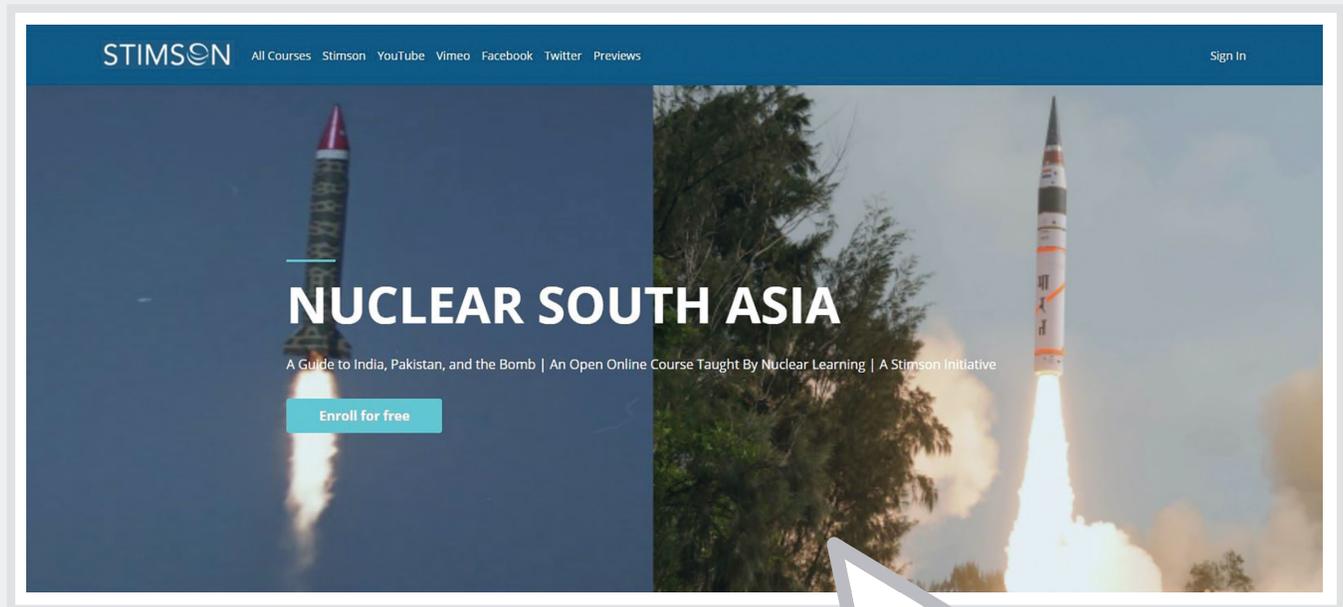
Nations most impacted by food insecurity often suffer from weak governance, frequent conflicts, or outright war. Four ongoing famines in Syria, Yemen, Somalia, and South Sudan underscore this challenge. Environmental degradation caused by climate change has further increased the risk of armed conflict over scarce resources. The resulting conflicts have dismantled decades of investments in development in each of these countries. Ongoing conflicts and reconstruction efforts have also pushed the U.S. military to move beyond delivering humanitarian assistance to support food security, which places them into roles that sometimes overlap with those of the development sector. Understanding the intersection of food security and national security has become a central focus for the Stimson Center.

In 2017, with support from RTI International, the Stimson Center convened three sessions that mobilized military and civilian agencies capable of addressing the threat of food insecurity. In conjunction with George Mason University, the Stimson Center helped orchestrate a Global Food Summit offering an in-depth examination of food and national security and bringing together the public and private sectors to identify threats and promote pragmatic solutions. Stimson also worked closely with the emerging technology sector to identify challenges and propose opportunities to apply innovation to address acute global threats like food insecurity. And Stimson's continued engagement with policymakers has resulted in a newly mandated Global Food Security Report as part of the 2018 Defense Appropriations Bill that will be prepared for the Department of Defense over the coming year.

▼ *Johanna Mendelson Forman and Brian Finlay convening a roundtable with partners from George Mason University.*



CREATING ONLINE CLASSROOMS TO REDUCE NUCLEAR RISK IN SOUTH ASIA

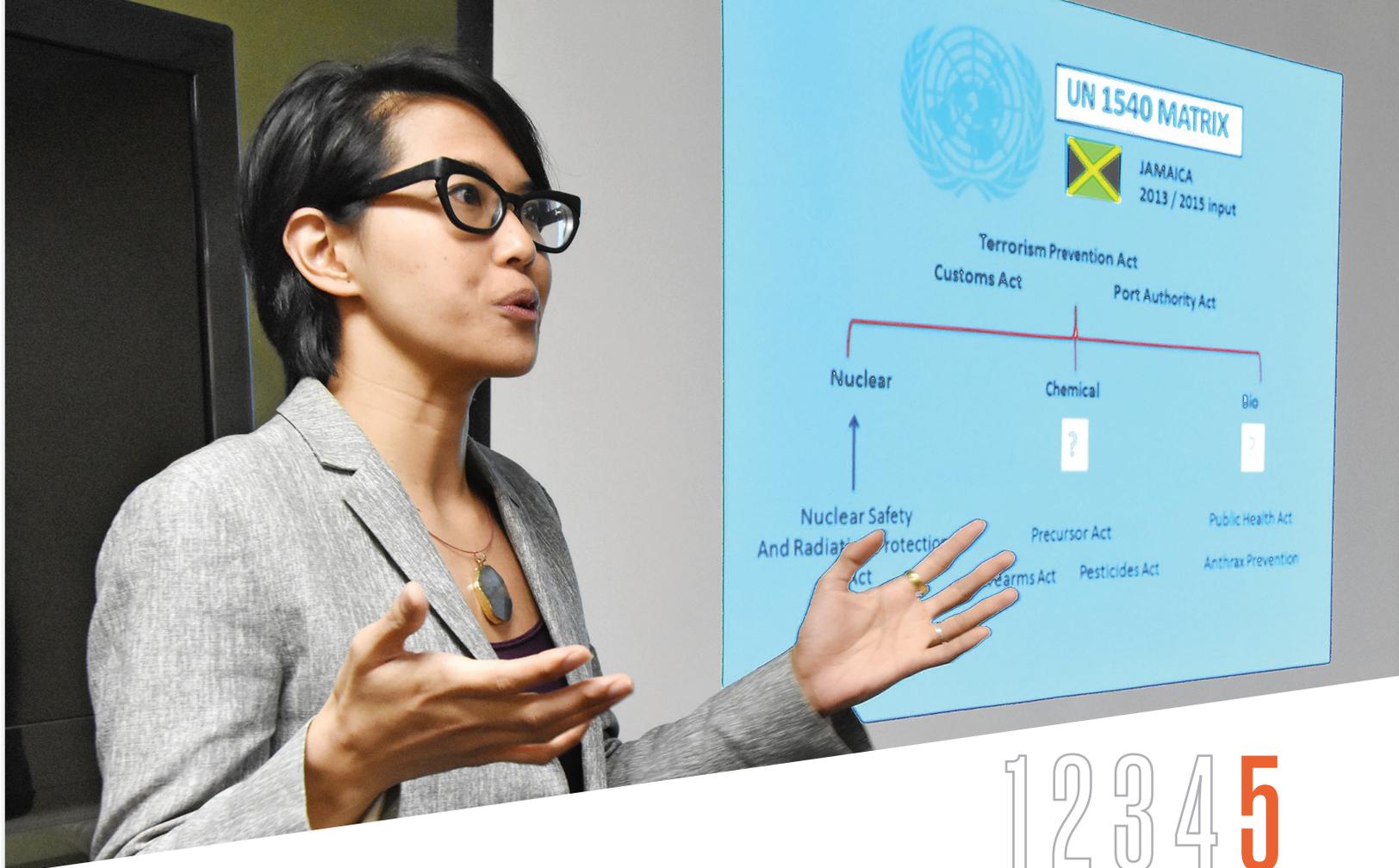


Experts agree that the nuclear competition between India and Pakistan remains the most likely arena for nuclear war. In each country, stockpiles of missiles and warheads are expanding, new nuclear capabilities threaten to challenge deterrence stability, war-fighting doctrines are in flux, and diplomatic efforts have stagnated. And China's growing presence in South Asia has introduced a new element of uncertainty into the strategic landscape.

Amid these evolving dynamics, Stimson's South Asia program has undertaken a new initiative to promote "nuclear learning" in the region. Launched in May 2017 at www.nuclearlearning.org, the inaugural Stimson Open Online Course (SOOC) — *Nuclear South Asia: A Guide to India, Pakistan, and the Bomb* — is meant to sharpen strategic analysts' understanding of nuclear programs, doctrines, and postures in India and Pakistan. The course includes 8.5 hours of video content and features lectures from more than 80 renowned scholars and practitioners,

including former senior diplomats and military officers. The course offers the most comprehensive collection of perspectives on India's and Pakistan's nuclear trajectories available online, and for free.

More than 1,200 students — 80 percent of whom are from India and Pakistan — have enrolled in *Nuclear South Asia* since its launch and logged 230,000 video views on the course website and social media. A significant percentage of students have earned Stimson-issued certificates of completion. High demand has led Stimson to create a second SOOC on deterrence in Southern Asia, which is currently under development.



^ STEP Program

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CLOSING SUPPLY CHAIN LOOPHOLES TO PREVENT THE SPREAD OF WMD

The Jamaican government is working to transform the Port of Kingston into a global logistics hub to attract new trade investments and improve the country’s economy. But as opportunity for trade expands, trafficking risks also grow. Security is a critical factor in supply chain logistics, especially when handling “dual-use” items. If these items fall into the wrong hands, they can be sold illegally or used for nefarious purposes — including the development of nuclear, chemical, or biological weapons. Oftentimes, though, security is perceived as an impediment to supply chain efficiency because of added regulations, redundant checks, and extra steps, disincentivizing compliance with global norms.

With support from the Government of Canada, Stimson’s Security and Trade Efficiency Platform (STEP), in collaboration with private industry, partnered with Jamaica to evaluate the security and efficiency of their supply chains as well as understand and reduce their susceptibility to illicit trafficking.

Through four on-site visits and more than 50 in-depth interviews with government and industry stakeholders, the STEP team developed 18 supply chain maps that tell a story of how dual-use precursor chemical items are imported and exported and illustrating where operational efficiency and security gaps could be addressed. Moreover, to ensure sustainability, Stimson developed a STEP curriculum for the Caribbean Maritime University so faculty can teach the next generation of traders and maritime security specialists about STEP principles and methodology.

STEP worked with local experts to identify security vulnerabilities that, when addressed, expedited the import and/or export process and increased trade capacity. Going beyond analysis, Stimson’s STEP program illustrated that security and efficiency are complementary — not competing — concepts that will both contribute to the success of supply chain logistics in Jamaica.

STRATEGIC PARTNERSHIPS

In 2017, we worked to influence large-scale U.N. reforms to make the system more effective at preventing conflicts before they become crises. We produced a groundbreaking study, *Improving U.N. Situational Awareness*, that included the first-ever mapping of all U.N. situational awareness capacities across the globe at field, regional, and headquarters levels. This report offered innovative recommendations to ensure that the U.N. system could act earlier and more effectively to prevent conflicts from breaking out. The U.N. Secretary-General's office has drawn on this Stimson report in developing its sweeping U.N. reform agenda, and the report's findings are echoed in the Secretary-General's vision for U.N. reform to enhance conflict prevention, outlined in his August 2017 "Platform for Prevention."





STIMSON PROGRAMS

PROMOTING SECURITY & PROSPERITY

- / Conventional Defense
- / Trade, Technology, and Security
- / WMD, Nonproliferation, and Security

PROTECTING PEOPLE

- / Global Political Demography
- / New Majority
- / Transforming Conflict and Governance

PRESERVING THE PLANET

- / Environmental Security
- / Food Security
- / Space Security

GRAND STRATEGY IN PIVOTAL PLACES

- / East Asia
- / Middle East
- / South Asia
- / Southeast Asia

PROMOTING SECURITY & PROSPERITY

Conventional Defense

Stimson’s Conventional Defense program analyzes the complexities of the international trade in conventional arms. Focusing on U.S. policies and international agreements, the program works to promote a more responsible and transparent global arms trade. Specifically, the Conventional Defense program examines the impact of conventional weapons on communities caught in conflict, the relationship between arms sales and the recruitment and use of child soldiers, the use of drones and other weapons technologies, and U.S. reliance on security assistance as a tool of foreign and national security policies.

In 2017, the Conventional Defense team focused on maintaining responsibility and restraint in U.S. arms transfer decisions in an environment of shifting policy directives, limited multilateral engagement, and continued use of force in conflict zones. The Conventional Defense program worked with partners in Europe to facilitate the development of international norms guiding the use of armed drones, and Project Director Rachel Stohl worked with national governments from the Caribbean and the Pacific Islands in efforts to support the universalization of the Arms Trade Treaty. The Conventional Defense team also undertook projects to identify synergies among international agreements aimed at combatting illicit and/or irresponsible arms transfers.

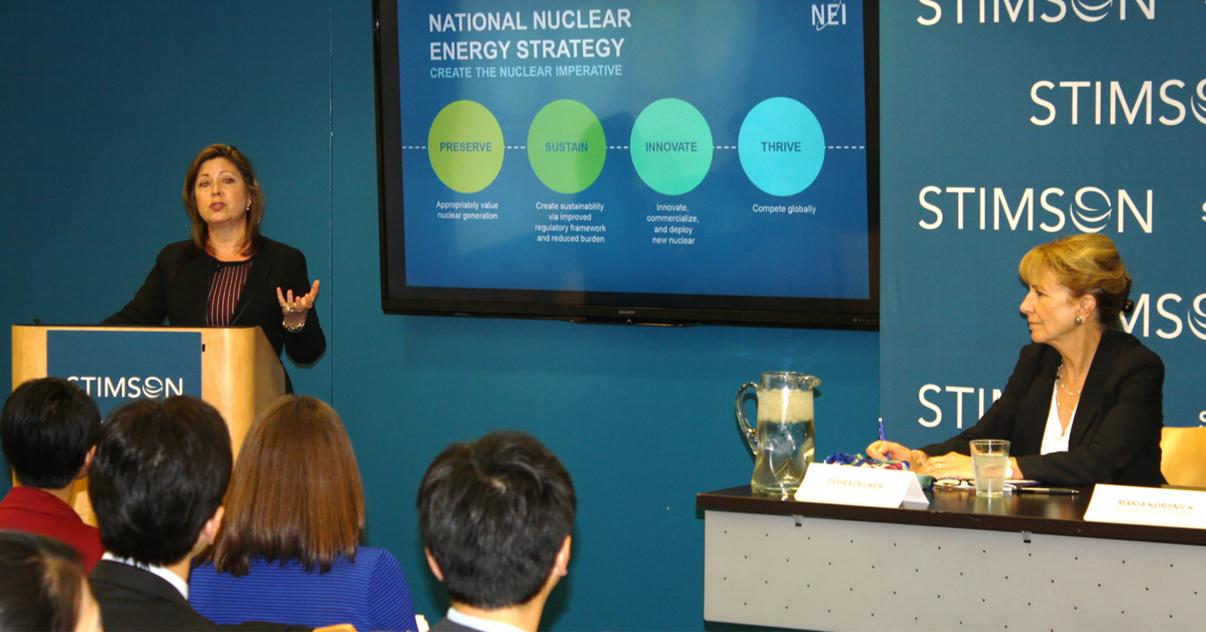
Partnerships in Proliferation Prevention

The proliferation of WMD and terrorism represents increasing threats to regional and global stability. States are actively seeking support to build their capacity to combat and mitigate these threats. Despite having the mandate to support countries seeking assistance under U.N. Security Council Resolution 1540, assistance providers, including states and international governmental organizations, rarely have the capacity to respond to requests for assistance. The Stimson Center’s Partnerships in Proliferation Prevention program offers innovative solutions and targeted capacity-building efforts to facilitate the assistance provision process and strengthen global efforts to fight the spread of WMD.

In 2017, Stimson’s Partnership in Proliferation Prevention team created a chemical, biological, radiological, and nuclear weapons (CBRN) database that informs stakeholders of assistance opportunities related to combatting the spread of CBRN materials. This open-source database includes nearly 1,000 projects from more than 50 assistance providers comprising states, intergovernmental organizations, and civil society, and continues to expand as a result of the program’s efforts to foster stakeholder engagement and meaningful partnerships in these efforts. Additionally, Stimson is collaborating with the UNSCR 1540 Committee to develop strategic guidelines that will help strengthen assistance requests and mitigate challenges facing both those requesting and offering assistance.



< Rachel Stohl joined renowned experts from across DC to participate in the Second Annual Defense One Tech Summit in July 2017. She discussed the changing environment of drone proliferation and the future of drone transfers and use.



< Maria Korsnick, CEO of the Nuclear Energy Institute, presents at the report launch for “Re-Energizing Nuclear Security: Trends and Potential Collaborations Post Security Summits.”

WMD, Nonproliferation, and Security

Nuclear Security

Stimson’s Nuclear Security program works with various stakeholders within the civilian nuclear enterprise — governments, regulators, industry, and civil society — to develop new approaches to manage the risks associated with the theft, illegal transfer, or sabotage of nuclear material and technology. The program is firmly committed to the notion that strong and sustainable security practices to address today’s complex nonproliferation challenges, must align rather than compete with economic development. Therefore, the program’s research provides recommendations that aim to balance security and business interests.

In 2017, the team developed the *Nuclear Security Organizational Governance Template*, a tool that senior managers in nuclear facilities can use to outline their organization’s process of cultivating and maintaining a strong security culture among their employees. The team presented the Governance Template to various governments and industry actors, including in the “Nuclear Security Roundtable: Demonstrating Strong Governance and Due Care” event in London. Roundtable participants, including nuclear lawyers and insurers, noted the value of the Governance Template as a potential resource to demonstrate a company’s “duty of care” — the legal obligation to exercise reasonable skills to protect the public from foreseeable harm. The team will continue to present, test, and refine the template in the coming year.

Nuclear Safeguards

Stimson’s Nuclear Safeguards program examines the impact of evolving international safeguards obligations on states and nuclear facility operators. The program

strengthens nuclear materials security by focusing on the materials that have historically fallen outside of international control, namely source materials such as natural uranium. The program catalogs the risks and proliferation pathways associated with the unauthorized removal of source materials, and identifies best practices in implementing nuclear safeguards, particularly related to source materials at the stages of conversion and milling.



In 2017, the program continued to engage with industry and regulators to understand how clarifications to “the starting point of safeguards” have impacted reporting and accountancy controls related to the production and trade of natural uranium. From February–July 2017, Senior Associate and Director of the Nuclear

Safeguards program Cindy Vestergaard was in Australia as a visiting fellow at the University of Sydney’s Center for International Security Studies, one of the program’s partner organizations. The year also involved site visits to the world’s largest uranium refinery in Blind River, Ontario, Canada, and the White Mesa Mill in Utah — the only conventional uranium mill in the United States.

^ Cindy Vestergaard visit to White Mesa Mill.



< Aditi Gorur is guided through a neighborhood in the capital of the Central African Republic that has been raised by violence and is now being rebuilt by former armed group combatants.

PROTECTING PEOPLE

Transforming Conflict and Governance: Protecting Civilians in Conflict

Today, violent conflicts around the world are displacing and endangering the lives of millions. One of the only tools the international community can use to intervene to protect these conflict-affected populations is to send U.N. peacekeepers to help. Stimson’s Protecting Civilians in Conflict program works to find ways for these peacekeepers to be more effective. The program’s researchers determine firsthand what’s happening on the ground, report back to U.N. stakeholders, and work to develop policies to manage the impact of these conflicts on global security and on the lives of vulnerable civilians.

In 2017, the program worked to influence large-scale U.N. reforms to make the system more effective at preventing conflicts before they become crises. A groundbreaking study, *Improving U.N. Situational Awareness*, included the first-ever mapping of all U.N. situational awareness capacities across the globe at field, regional, and headquarters levels. This report offered innovative recommendations to ensure that the U.N. system could act earlier and more effectively to prevent conflicts from breaking out. The U.N. Secretary-General’s office has drawn on this Stimson report in developing its sweeping U.N. reform agenda, and the report’s findings are echoed in the Secretary-General’s vision for U.N. reform to enhance conflict prevention, outlined in his August 2017 “Platform for Prevention.”

Transforming Conflict and Governance: Just Security 2020

Stimson’s Just Security 2020 program aims to revitalize and focus the United Nations and other global institutions around 21st-century challenges that fall at the intersection of security and justice, including growing mass violence in fragile states, the threat of climate change, and cross-border economic shocks and cyberattacks. To advance the recommendations of the Albright-Gambari Commission on Global Security, Justice & Governance, the program organizes global policy dialogues, undertakes research, and supports a unique online platform on global security, justice, and governance reform.

In 2017, the program convened representatives from 20 U.N. Missions and the Office of the President of the General Assembly to bring regional perspectives and fresh ideas into the preparations of the U.N.’s April 2018 High-Level Meeting on Peacebuilding and Sustaining Peace, as well as a corresponding Report of the Secretary-General. The program further contributed to creating the new multistakeholder UN 2020 Initiative to advance a Leaders Summit on U.N. Renewal, Innovation, and Reform in New York in September 2020. The program also produced a new co-authored book, *Human Development and Global Institutions: Evolution, Impact, Reform* (Routledge), as well as four well-received and influential co-authored studies.

PRESERVING THE PLANET

Environmental Security

Stimson's Environmental Security program understands that environmental security threats have long been outside the purview of the conventional security community, and is working actively to change this. The threats to people and communities posed by environmental insecurity across the globe include the damaging effects of climate change, which can contribute to food, social, and economic insecurity and resulting conflicts. Natural resource theft exacerbates insecurity, threatens the rule of law, and fuels geopolitical tensions. In addition to highlighting the linkages between environmental issues and national security priorities, Stimson's Environmental Security program is building bridges between uncommon partners from both environmental and national security perspectives.

In 2017, the Environmental Security program launched an open-source database on global incidents of illegal, unreported, and unregulated (IUU) fishing. Complementary to this database, the program published a report titled *Casting a Wider Net: The Security Implications of Illegal, Unreported, and Unregulated Fishing*, which includes a series of recommendations that argue for active engagement of the national security community in combatting IUU fishing. Throughout 2017, the program actively supported the European Union when they hosted the Our Oceans Conference in Malta.

Food Security

Food insecurity represents a growing national security concern for many countries across the globe, particularly in the developing world, which is most vulnerable to the damaging effects of climate change and migration diasporas. Levels of food insecurity are expected to rise along with the rapid spread of urbanization and the increase of the global population by more than 2 billion people by 2050. Stimson's Food Security program has been at the forefront of examining the multidimensional role that food plays in all aspects of national security. The program looks at how climate change, natural disasters, and civil wars impact and are impacted by food insecurity and explores how new technologies and best practices in agriculture can ensure that food shortages do not become a pretext to global conflicts.



^ U.S. Ambassador to Thailand, Glyn T. Davies, welcomes conference participants at the Stimson-hosted Conference on Marine Environmental Issues in Bangkok, Thailand.

In 2017, Stimson's Food Security program engaged with the Center for International Private Enterprise on a project that trains Syrian refugees in Turkey to use food entrepreneurship to restore livelihoods and build new communities for a displaced population. In conjunction with the Global Knowledge Initiative, Director Johanna Mendelson Forman explored disruptive technologies that can potentially impact the future of food production in the developing world. Further, the Food Security program examined the linkages of food and national security as they relate to the reauthorization of the Global Food Security Act in 2018 through a series of roundtables, with policymakers and development practitioners, seeking better approaches to integrate security and development concepts.



^ Consortium team from the LIFE program mapping visit to Istanbul, featuring CIPE, Union Kitchen, William Davidson Institute, and IDEMA.

GRAND STRATEGY IN PIVOTAL PLACES

East Asia: China

Stimson’s China program endeavors to help those outside of China understand the logic, strengths, and weaknesses of China’s foreign policy goals and behaviors. Much of the program’s work specifically examines China’s relations with, investment in, and policies regarding conflict zones around the globe, with an emphasis on how these issues impact China’s relationships with major world powers.

In 2017, led by Program Director Yun Sun, Stimson’s China program played an instrumental role in assessing China’s behaviors and reactions in multiple crises throughout the year. This work included a strong focus on Myanmar’s peace process and the influence of China on mediation and dialogue with ethnic groups in Northern Myanmar. Sun provided consultations to almost all domestic and foreign parties involved in the ongoing conflicts in Myanmar. The program also closely followed and provided expert analysis on the role of China in the North Korea nuclear crisis since the inauguration of the Trump administration.



▲ A view of the Great Wall of China during a Congressional Delegation. Yun Sun accompanied the CODEL to provide expert insights into Chinese domestic politics and foreign policy.



▲ The Japan Program’s project on how countries in East Asia perceive U.S. defense innovation took the team to Beijing, China to meet with defense experts. Research Associate Pam Kennedy made a stop at the Temple of Heaven.

East Asia: Japan

Stimson’s Japan program strives to introduce diverse American and Japanese perspectives on issues that are salient for U.S.-Japan relations. The program offers research and analysis on critical political-military issues for the U.S.-Japan alliance that are often overlooked or lost in translation, promotes analytical works by rising Japanese experts on a wide range of security issues, and organizes public seminars that reach beyond the usual suspects in the field of U.S.-Japan relations. Behind closed doors, Program Director Yuki Tatsumi relays analytical insight directly to government officials in both the U.S. and Japan.

In 2017, the Japan program held its annual national security speaker series, Voices from Japan. The series, held annually, has grown into one of the rare public event programs in Washington, D.C., where analysts from the United States and Japan engage in policy conversation beyond the narrow U.S.-Japan bilateral agenda. This year’s event garnered C-SPAN coverage. The program’s report *Lost in Translation?* drew a great deal of attention within the U.S. defense policy community as an innovative analytic work that examines the issues of U.S. defense strategy in the context of U.S. capacity to manage alliances in Northeast Asia.

Southeast Asia

Stimson's Southeast Asia program engages with stakeholders across the Mekong Basin to promote sustainable energy plans that minimize negative social and environmental effects of infrastructure development. The program works closely with a diverse team of policymakers, technical experts, and individuals from affected communities to design alternative energy strategies that move away from hydropower and coal while continuing to support economic development. The program makes specific national-level policy recommendations to support a more sustainable Mekong Basin.



^ Southeast Asia team engages with local stakeholders living on the Tonle Sap Lake about the environmental and social impacts of climate change, upstream hydropower development, and national policies on the Mekong's rich and biodiverse fisheries.

In 2017, the Southeast Asia program continued to serve as one of the strongest voices promoting sustainable development solutions to energy challenges in Southeast Asia. The Southeast Asia program collaborated with a network of stakeholders including the International Union for the Conservation of Nature, UC Berkeley's Energy and Resources Group, and The Nature Conservancy to create the Mekong Basin Connect Initiative, which convened 13 capacity-building workshops to introduce government officials to the economic, environmental, and geostrategic benefits of sustainable energy planning. This year, the program produced a report on trends in renewable energy, *Mekong Power Shift*, with recommendations that received endorsement from Vietnam's prime minister's office. Additionally, Program Director Brian Eyler, along with Stimson's Environmental Security Program Director Sally Yozell, designed and co-chaired a U.S. Department of State-sponsored U.S.-ASEAN Conference on Marine Environmental Issues in Bangkok, Thailand.



^ During Sameer Lalwani's visit to research the recent unrest in the Indian-administered state of Jammu and Kashmir, protesters hold a sign in support of Zakir Musa, the head of Al-Qaeda's affiliate in Kashmir.

South Asia

South Asia is often a forgotten nuclear flashpoint; yet nuclear powers India and Pakistan have engaged in four wars and countless border clashes against each other in the last 70 years. Stimson's South Asia program works to reduce nuclear dangers in the region by analyzing risks associated with intensifying arms racing between India and Pakistan; examining their drivers, including broader U.S.-China strategic competition in Asia; and championing confidence-building and nuclear risk-reduction measures. Stimson's South Asia program leads in the study of crisis and escalation behavior on the subcontinent, and nearly every confidence-building measure now in place between the two countries stemmed in part from the South Asia program's track II initiatives.

The South Asia program also focuses on generating critical analysis, cross-border dialogue, and nuclear learning among strategic analysts in the region. The program continues to offer visiting fellowships, host track II workshops, and publish a steady stream of analysis in its online magazine, *South Asian Voices (SAV)*, and launched its inaugural open online course, *Nuclear South Asia: A Guide to India, Pakistan, and the Bomb*. In 2017, SAV reached new heights as traffic jumped 65 percent from the year prior, and since the May 2017 launch of the online course, more than 1,200 students — 80 percent from India and Pakistan — have enrolled, with a significant percentage earning certificates of completion. As a result of high demand, a second online course on deterrence in Southern Asia is under development.

REPORT OVERVIEW



Arms Trade Treaty
Small Arms Assessment Program

Assessing ATT Implementation
IN THE ASIA-PACIFIC REGION




Arms Trade Treaty
Small Arms Assessment Program

Reporting Challenges and Assistance Needs
IN THE ASIA-PACIFIC REGION




Arms Trade Treaty
Small Arms Assessment Program

Reporting in Review: Examining ATT Reporting Experiences
AUGUST 2017




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Reviewing 2017 ATT Annual Reports on Arms Exports and Imports: Fulfilling the Promise of the ATT?
OCTOBER 2017

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LOST IN TRANSLATION?
U.S. Defense Innovation and Northeast Asia

YUKI TATSUMI
WITH CONTRIBUTIONS FROM
ALEX BOLLFRASS AND PAMELA KENNEDY



Defining the **BOUNDARIES** of UN Stabilization Missions
ADITI GORUR



DECEMBER 2016
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Peacebuilding and **JAPAN**
VIEWS FROM THE NEXT GENERATION



SYNERGIES
Between the **Arms Trade Treaty** and **UN Security Council Resolution 1540**

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FINANCIALS

Statement of Consolidated Activities

OPERATING REVENUES

Grants & Contributions
Contracts
In-kind Contributed Services
Investment Income
Rental Income & Administrative Fees
Other
TOTAL Revenue

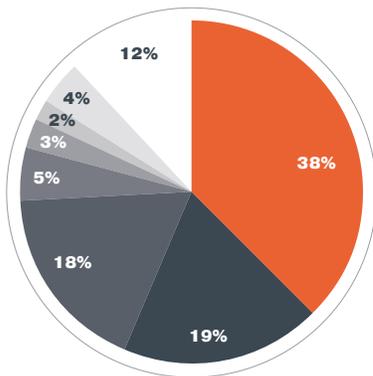
OPERATING EXPENSES

Program Activities
General Administration
Development
TOTAL Expenses

CHANGE IN OPERATING NET ASSETS

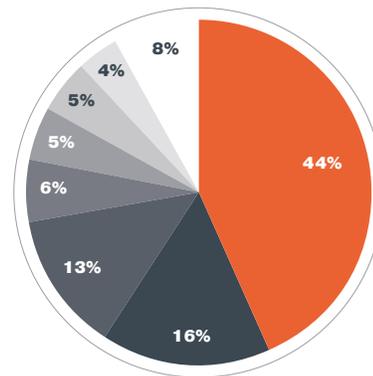
Change in Net Assets
Net Assets, Beginning of the Year
Net Assets, End of Year

	2017	2016
Grants & Contributions	\$ 4,381,085	\$ 4,617,088
Contracts	\$ 1,195,237	\$ 1,185,851
In-kind Contributed Services	\$ 234,768	\$ 235,165
Investment Income	\$ 70,308	\$ 40,696
Rental Income & Administrative Fees	\$ 273,912	\$ 300,703
Other	\$ 20,709	\$ 11,278
TOTAL Revenue	\$ 6,176,019	\$ 6,390,781
Program Activities	\$ 5,487,914	\$ 4,794,323
General Administration	\$ 383,760	\$ 377,457
Development	\$ 241,709	\$ 146,311
TOTAL Expenses	\$ 6,113,383	\$ 5,318,091
Change in Net Assets	\$ 62,636	\$ 1,072,690
Net Assets, Beginning of the Year	\$ 2,888,621	\$ 1,815,931
Net Assets, End of Year	\$ 2,951,257	\$ 2,888,621



2017 REVENUE BY SOURCE

● Foundations	\$ 2,336,361
● Foreign Governments	\$ 1,150,100
● US Government	\$ 1,122,889
● Individuals	\$ 287,371
● Corporations	\$ 159,102
● International Orgs	\$ 119,544
● In-Kind Services	\$ 234,768
○ Other (Tenant income, investments, etc.)	\$ 765,874
TOTAL	\$ 6,176,019



2016 REVENUE BY SOURCE

● Foundations	\$ 2,801,096
● Foreign Governments	\$ 1,004,129
● US Government	\$ 816,141
● Individuals	\$ 352,383
● Corporations	\$ 341,058
● International Orgs	\$ 332,949
● In-Kind Services	\$ 235,165
○ Other (Tenant income, investments, etc.)	\$ 507,860
TOTAL	\$ 6,390,781

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