

About this Event

The fourth meeting of Turtle Bay Security Roundtable will return to the issues of sanctions and non-proliferation, detailing the mechanics of illicit networks, sanctions evasion, and the trafficking of conventional arms and dual use materials. As the United Nations covers the issue of WMD nonproliferation through Security Council Resolution 1540 (2004), the discussion will focus on the threat posed by the transfer of dual-use goods and technologies to non-state actors. As with previous sessions, it will also look beyond the WMD challenge to intersecting challenges in the conventional arms space, and for opportunities to leverage horizontal responses across these discrete threat portfolios.

Focusing on identifying the measures Member States can take in order to promote the overall objectives of disarmament and non-proliferation, the meeting will consider the challenges faced by many governments in fully implementing their international commitments, including key Security Council resolutions 1540 (2004), 1718 (2006), and 1737 (2006). As noted, through informal discussion among participants, the conference will focus on how non-proliferation measures on WMD-related goods and technologies are closely linked to other illicit activities such as money laundering, counterfeit trade and arms smuggling. Finding these common linkages will enable more effective and efficient use of responsive resources.

The conference features a number of leading experts in the area of non-proliferation, arms control and UN sanctions issues. The eclectic mix of experts including policymakers, scientists, industry representatives, and think tank members will allow participants to consider creative and practical approaches in suppressing the illegal trafficking of sensitive materials in the globalized economy.

About Turtle Bay Security Roundtable Series

The Permanent Missions of Japan, Poland and Turkey have been co-hosting a series of events that focus on various security challenges posed in today's world. The seminar is organized in close cooperation with Stimson, an internationally renowned think tank based in Washington DC, and enjoys strong support from the United Nations. Its inaugural conference held in May 2011 benefitted from the presence of Secretary-General Ban Ki-moon, who emphasized in his keynote speech the significance of international efforts in the area of non-proliferation, disarmament and the important role relevant UN Security Council Resolutions play in non-proliferation efforts.

These events have included not only UN diplomats and leading experts in the area of disarmament and non-proliferation but also corporate executives and scholars in order to promote candid, in-depth and thought-provoking discussions. The organizers have also tried to facilitate cross-cutting analysis of wide-ranging issues by welcoming the participation of experts in the area of development and peace-building, key components to promote international peace and stability. Below are links to the three previous sessions:

First event (May 31, 2011): <http://www.un.emb-japan.go.jp/events/060211-2.html>
Second event (December 5, 2011): http://www.un.emb-japan.go.jp/events/120711_2.html
Third event (May 21, 2012): <http://www.un.emb-japan.go.jp/events/051212.html>



STIMSON

The Turtle Bay Security Roundtable: Proliferation Challenges in a Flat World

Featuring **The Honorable Juan C. Zarate**
Senior Adviser, Center for Strategic and International Studies (CSIS)

January 18, 2013

Japan Society
333 East 47th Street
New York, NY

An event hosted by:

the Permanent Missions of Japan, Poland
and Turkey to the United Nations
In cooperation with Stimson

9:00-9:20 **Registration and Morning Coffee**

9:20-9:40 **Opening Remarks:**

- **Ms. Ellen Laipson**, President and CEO, Stimson
- **Ambassador Tsuneo Nishida**,
Permanent Representative of Japan to the United Nations
- **Ambassador Ryszard Sarkowicz**,
Permanent Representative of Poland to the United Nations
- **Ambassador Halit Çevik**,
Permanent Representative of Turkey to the United Nations

9:40-11:10 **First Session**

Breeding Insecurity: The Impact of the Diffusion of Illicit Weapons on Human Security and Economic Development

The trafficking of conventional arms—especially small arms and light weapons—and the proliferation of weapons of mass destruction (WMD) are among the most serious threats to international peace, human security, and sustainable development. This panel will discuss global trafficking trends and assess the most efficacious methods of both states and non-state actors to check the proliferation of weapons and advanced technologies. The panel will also identify the negative consequences that illicit transfers have not only on security, the rule of law, and governance, but also on the international legal framework and global economic prosperity.

Moderator: George A. Lopez, Kroc Institute for International Peace Studies, former member 1718 Committee Panel of Experts

- Sheena Chestnut Greitens, Harvard University
- Jonah Leff, Small Arms Survey
- Terence Taylor, Coordinator, 1540 Committee Expert Group

Participants (suggested)

- 1540 Committee Experts
- 1718 Committee Panel of Experts
- 1737 Committee Panel of Experts
- 1973 Committee Panel of Experts
- Jeff Abramson, Control Arms
- David Bosco, School of International Studies, American University
- Loraine Rickard-Martin, Compliance and Capacity International, LLC
- Carl Robichaud, Carnegie Corporation
- Rachel Stohl, Stimson

11:10-11:30 **Coffee Break**

11:30-1:00 **Second Session**

Mechanics of Globalized Proliferation and Challenges for Effective Implementation of UN Resolutions

The past quarter century has witnessed an unprecedented growth in the spread of illicit weapons, as well as acquisition of related materials. Globalization has made no country or region immune from malicious actors seeking to turn unwitting companies and governments into unintentional accomplices. The complexity of business transactions and the globalized supply chain make enforcement activities challenging even for the most well-resourced and committed governments and industry actors. Understanding the modalities of the illicit trade in weapons and technologies is critical to international efforts to identify, disrupt, and ultimately prevent them. This panel will consider, from the standpoint of the practitioner and unwitting prospective facilitator, the mechanics of the illicit trade in both conventional weapons and WMD with an eye to developing global cooperation for its prevention.

Moderator: Brian Finlay, Stimson

Panelists:

- David Albright, Institute for Science and International Security
- Hugh Griffiths, Stockholm International Peace Research Institute (SIPRI)
- Martin Uden, Coordinator, 1718 Committee Panel of Experts

Participants (suggested)

- 1540 Committee Experts
- 1718 Committee Panel of Experts
- 1737 Committee Panel of Experts
- 1973 Committee Panel of Experts
- Rico Carisch, Compliance and Capacity International, LLC
- Christian Caryl, Legatum Institute
- Irwin Nack, Bank of Tokyo-Mitsubishi UFJ
- Evans Revere, Albright Stonebridge Group

1:00-1:45

Luncheon

1:45-3:00

Keynote Address and Roundtable Discussion

Remarks by Ms. Angela Kane, UN High Representative on Disarmament Affairs, Speaking on behalf of Secretary-General Ban Ki-moon

Keynote Speaker: Juan C. Zarate

Juan Zarate is a Senior Adviser at the Center for Strategic and International Studies (CSIS), the Senior National Security Analyst for CBS News, a Visiting Lecturer of Law at the Harvard Law School, and a national security consultant.

Mr. Zarate served as the Deputy Assistant to the President and Deputy National Security Advisor for Combating Terrorism from 2005 to 2009, and was responsible for developing and implementing the U.S. Government's counterterrorism strategy and policies related to transnational security threats. Mr. Zarate was the first Assistant Secretary of the Treasury for Terrorist Financing and Financial Crimes where he led domestic and international efforts to attack terrorist financing, the innovative use of Treasury's national security-related powers, and the global hunt for Saddam Hussein's assets. Mr. Zarate is a former federal prosecutor who served on terrorism prosecution teams prior to 9/11, including the investigation of the USS Cole attack.

He is the author of *Forging Democracy*, a variety of articles in *The New York Times*, *Washington Post*, *Wall St. Journal*, *LA Times*, *the Washington Quarterly* and the forthcoming book, *Treasury's War: How Bankers and Operatives Unleashed a New Era of Financial Warfare*. Zarate has his own weekly national security program on CBSNews.com called "Flash Points." He is a graduate of both Harvard College and Harvard Law School and a former Rotary International Fellow (Universidad de Salamanca, Spain). Mr. Zarate sits on several boards of advisors, including for the Director of the National Counterterrorism Center (NCTC).

Roundtable followed by open discussion

Moderator: Ellen Laipson, Stimson

Discussants:

- Juan C. Zarate, CSIS
- Duane L. Lindner, Sandia National Laboratories
- Ambassador Tsuneo Nishida of Japan

3:00-3:15

Closing Remarks:

- Ambassador Halit Çevik, Permanent Representative of Turkey to the UN
- Ambassador Ryszard Sarkowicz, Permanent Representative of Poland to the UN
- Ambassador Tsuneo Nishida, Permanent Representative of Japan to the UN

3:15

End of the event

All sessions will be open to the press but not attributable (with exception of opening/closing remarks and keynote speech/discussion.)